

MARK CALLAHAN

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Education: **NEW YORK UNIVERSITY** Purchase, NY
Leonard N. Stern School of Business
Master of Business Administration, December 2000
Emphasis in Finance

FAIRFIELD UNIVERSITY Fairfield, CT
Bachelor of Science, Finance, May 1993

Experience: **SEMPRA ENERGY TRADING CORP.** Stamford, CT
2000 - Present
Physical Trader

- Trade physical electricity book in MAPP and SPP for marketing and speculative purposes.
- Manage outright and locational spread positions in duration of 2 years or less.
- Purchase long term transmission paths in order to optimize energy positions.
- Arbitrage basis between MAPP and SPP regions and Entergy hub.
- Trade into Cinergy, Comed, and Entergy hubs for purposes of hedging or delivering against physical positions.

1999 - 2000 **Forward Trader**

- Traded physical electricity into Cinergy, Entergy and ERCOT hubs for purposes of speculative trading and hedging price risk.
- Traded daily and monthly physically settled options in order to capture and profit from market volatility.
- Executed futures transactions to hedge physical and delta positions.
- Priced long term capacity, tolling, options, and energy transactions for Marketing group.
- Reconciled and reported daily position and profit and loss statements.

1997 - 1999: **PHIBRO INC.** Westport, CT
Forward Trader

- Traded physical electricity into Cinergy and Entergy regions for speculative trading book.
- Traded daily and monthly physically settled options in order to capture and profit from market volatility.
- Executed futures transactions to hedge physical and delta positions.
- Monitored delta position of Head Trader's option book and advised and executed trades to manage risk.
- Prescheduled physical power in Cinergy, Entergy, PJM, COB, and Palo Verde regions.

1995 - 1997: **Internal Auditor**

- Worked independently to assess business risks associated with trading activities in physical and derivative markets.
- Ensured that exchange traded futures and options, OTC physicals, swaps, swaptions, APO's, and physically settled options transactions were properly and accurately reported.
- Ensured adherence to internal and external procedures for trade entry, position monitoring, and settlement.
- Prepared and issued reports to senior management recommending additional controls and efficiency enhancements.

1993 - 1995: **TRENDLOGIC ASSOCIATES, INC.** Greenwich, CT
Assistant Trader

- Executed trading in 50 global markets, including Foreign Exchange and CBOT, CME, CSCE, FINEX, IPE, LIFFE, LME, NYMEX, SIMEX, and SFE exchanges.
- Prepared daily position and profit and loss reports and risk summaries.
- Reconciled daily trading activities and tracked client performance.
- Developed and implemented automated Excel spreadsheets for order execution and position monitoring.

Registration: Series 3

Darien CT, 06820
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Dmueller@sempratradng.com

David Mueller

- | | | |
|-------------------|--|---------------------|
| Experience | Sempra Energy Trading
October 1998 – Present
<i>Electricity Trader</i> <ul style="list-style-type: none">▪ Manager of Real-time Electricity group▪ Trade short term physical/financial power in PJM, NYISO, NEISO▪ Trade and Sched. hourly positions in PJM, NYISO, NEISO and VEPCO▪ Daily risk assessment and Arbitrage opportunity▪ P&L reports on all positions▪ OASIS transmission/Tagging | Stamford, CT |
| | Phibro Inc.
May 1994 – Oct 1998
<i>Natural Gas Trader</i> <ul style="list-style-type: none">▪ Managed Gulf coast basis and physical positions▪ Traded short term N.E. positions▪ Scheduled natural gas across all pipelines from Gulf Coast to NE▪ Instrumental in forming new relationships with LDC's and Pipeline Reps. | Westport, CT |
| Education | University of Southern Maine
Sep. 1991-May 1994 <ul style="list-style-type: none">▪ BA, Business Management▪ Minor in Finance | Portland, ME |
| Skills | Excel, Web Design, Internet Research, MS Office, Windows/NT | |
| Interests | Tennis, Golf, Backgammon and B-Ball | |

DAVID EUGENE

OBJECTIVE

To advance my career within the short-term electricity trading market by expanding areas of focus and responsibility.

EXPERIENCE

1998–Current Sempra Energy Trading Corp. (Formerly AIG Int'l.) Stamford, CT
Short-Term Electricity Trader

- Monitor and ensure that all pre-scheduled firm obligations have been met.
- Purchase and sell physical electricity on the hourly market.
- Determine, calculate, and purchase any required transmission between the POR and POD.
- Construct tags utilizing OATi software.
- Verify accuracy of pre-schedules and hourly transactions with counterparts.

Physical Natural Gas Accountant

- Conduct European, Canadian, and U.S. physical natural gas settlements involving: Cashflow spreadsheet preparation, payment approval, and setup of wire funds transfers for multimillion dollar settlements.
- Calculate trade price and trigger physical gas trades with embedded optionality.

Energy Forward Price Feed

- Responsible for daily maintenance of all aspects of Price Store Application which encompasses pricing of every traded commodity including natural gas, crude oil and oil products, and electricity.
- Assist IT development team during design and testing stages of Price Store application and coordinate subsequent revisions with IT, front office, and backoffice staff during all phases of testing and implementation.
- Perform Energy Forward Price Feed (legacy) application including: capture of all trader price files for over 250 defined market indices, manual price edits, loading U.S. interest rates and forward exchange rate data for 10 currencies, loading prices into the Central Database, verifying price data, and closing the day in preparation for night cycle process.

Verbal Trade Confirmation

- Verbally confirm all brokered trade data with counterparties.

Trade Contract Administration

- Build, maintain, and review physical electricity and natural gas trade history.

1988–1994 U.S.A.F. Reserves Dover Air Force Base, DE
C-5 Aircraft Loadmaster, 326 Airlift Squadron.

- Acquired 1,423.5 flight hours including missions in direct support of Operation Just Cause, Operation Desert Shield, Operation Desert Storm, Operation Desert Calm, and Operation Provide Comfort.

EDUCATION

1994–1996 University of New Haven West Haven, CT
BS, Air Transportation Management.
Dean's List.

INTERESTS

Flight training, computers, superbike motorcycles, indoor rock climbing, and area triathlons.

AWARDS

Air Medal, 326 Airlift Squadron, 1991, Operation Desert Shield / Storm.

Aerial Achievement Medal, 326 Airlift Squadron, 1991, Operation Desert Shield / Storm.

PO BOX 1440
New York, NY 10028
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Michael@msh5.com

Michael S. Harrison

- Experience**
- Sempra Energy Trading** **Stamford, CT**
June 2000 – Present
Electricity Trader
- Traded short term physical/financial power in ECAR, SERC, MAIN, MAPP, SPP
 - Traded hourly positions in ECAR, MAIN, SPP, SERC, MAPP, FRCC
 - Daily risk assessment
 - P&L reports on all positions
 - OASIS transmission/Tagging
- NewEnergy, Inc** **Boston, MA**
July 1999 – June 2000
Real-Time Trader/Scheduler
- Managed short term positions in the hourly markets
 - Traded hourly positions in ECAR, MAIN, PJM, NYISO, NEPOOL
 - 24-hour desk scheduling
 - Retail Load Scheduling for CA, PJM, ECAR, NYISO
 - Wholesale scheduling for CA, PJM, ECAR, NYISO, NEPOOL
 - Produced daily P&L reports on all hourly positions
 - Managed short and long term OASIS transmission
 - Tagging (Electronic tagging)
- Education**
- University of West Florida **Pensacola, FL**
Aug 1996 - May 1999
- B.A., Marketing Management/Public Relations
 - Minor in Economics/Computer Science
 - Committee member of Marketing Society
- Skills**
- Excel, Web Design, Internet Research, MS Office, Windows/NT
- Interests**
- Equities Trading, Travel, Event Promotions

Missy Ellis
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Conroe, TX 77385
Home: (281) 296-7675

PROFESSIONAL EXPERIENCE:

Apr/00 - Present **Manager - Mid-continent Region**
 Sempra Energy Trading, Stamford, CT
Manage all of the Mid-continent region, 24 hr desk and hourly trading. Trade short and long term in SERC, FRCC, ECAR and SPP. This includes direct utility, hub business and transmission. Focusing largely on the SERC and FRCC areas. Moving more power on a short-term basis in Florida than any other marketer.

Oct/99 - Apr/00 **Manager - SERC**
 TXU Energy Trading, Houston, TX
Manager for physical and financial short term power in the SERC region. Responsibilities include putting together direct utility business, transmission deals and broker markets. Also responsible for pricing out generation deals going out to ten years.

Oct/98 - Oct/99 **Manager, Cash & Mid-Market Trading**
 Columbia Energy Group, Houston, TX
Head of trading for Southeast region and Florida. Responsible for trading both short and long term markets for these regions. Trade both physical and financial markets, this entails looking for most economical way of moving physical power into the broker market.

Apr/98 - Sep/98 **Manager Spot Trading**
 Tractebel Energy Marketing, Houston, TX
Set up physical power desk for East regions. Developed and implemented a well-balanced short and long-term perspective of market direction in ECAR, SERC (including Florida), MAPP, MAIN and SPP. Identified and defined generation, transmission, and other market factors. Continually looked for new opportunities in both the physical and Hub traded markets.

July/96 - Apr/98 **Power Trader/Head of SERC Region**
 Aquila Power, Kansas City, MO
Responsible for short and long term power, trading, and administration of all associated contracts. Interfacing with risk management and gas marketing operations in managing electric trades employing gas cross-commodity strategies, and developing financial intermediation tools.

Aug/95 - July/96 **Futures Broker**
 F1 Trading Co., Kansas City, MO
Set up floor Brokerage Company for execution of the western natural gas contract, on the Kansas City Board of Trade. Worked with customers to realize the arbitrage opportunities between NYMEX and WAHA contracts. Conducted seminars on behalf of the Kansas City Board of Trade to promote advantages of using the western natural gas contract.

July/94 - Aug/95 **Natural Gas Futures and OTC Broker**
 Gerald Energy, New York, NY
Execute futures for various customers in all energy-related products, main focus on natural gas and electricity. Consult customers on finding the best way to hedge both physical and basis deals in natural gas and in the electricity market.

Dec/89 - July/94 **Risk Management**
 Valero Energy, Houston, TX
Analyze energy market (NYMEX) to identify risk management and arbitrage opportunities. Execute futures, swaps, EFP's and option trades short and long term, which hedge the overall position taken by gas supply and sales.

Dec/87 - Dec/89 **Assistant Trader**

Spartan Finance, Houston, TX

St. Mary's - South Bend, IN
References provided upon request

Katharine Amelia Patton
4752 College Street
Jacksonville, FL 32205
Telephone: (904) 374-5169
Email: amypatton@aol.com

Professional Summary

Experienced business professional who specializes in purchasing energy transmission, establishing point to point energy flow tags, and managing power flow during transmission shortages and power outages.

Professional Proficiencies

Responsible for energy and transmission deal capture in accounting system, scheduling of deals, ordering transmission necessary for each deal, and prepares corresponding tags. Contacts generators, sellers and or buyers to ascertain their requirements to identify and complete transmission transaction. Knowledgeable of FLOASIS, MAPP, NPPD, SERC, SPP, MAIN and ECAR Transmission.

Professional Experience

Term Scheduler, Sempra Energy
Stamford, CT

October 2000 - Present

*Responsible for execution of supply and transmission procurement and delivery associated with the sales and purchases of energy products and services to wholesale energy customers. Execute all necessary physical supply and transportation arrangements necessary to implement committed positions. Responsible for the design, development and maintenance of forms, phone logs, and operating procedures which will be used as tools in support of energy scheduling trading and marketing.

Term Scheduler, The Energy Authority
Jacksonville, FL

January 1999 – October 2000

*Responsible for execution of supply and transmission procurement and delivery associated with the sales and purchases of energy products and services to wholesale energy customers. Execute all necessary physical supply and transportation arrangements necessary to implement committed positions. Responsible for the design, development and maintenance of forms, phone logs, and operating procedures which will be used as tools in support of energy scheduling trading and marketing.

* Liaison between three traders, TEA members and other energy providers.

JD Edwards Conversion Team Leader, Diagnostic Imaging Inc.
Jacksonville, FL

February 1998-December 1998

* 10 month contract - management of five person implementation team.

* Trainer of newly purchased distribution software to eight field branches.

* Honored for most efficient software conversion completed in DI Syracuse in a two week period.

* Restored failed DI Tampa software conversion by isolating the problems to customer service interface and standardized inventory control.

Education

Springfield College, Springfield, Massachusetts 1991-1995

*Concentration in Political Science and Business/Economics

University of North Florida, Jacksonville, Florida 1995

B.S. Studies in Political Science and Business/Economics

Associations

Youth Advisor, St. Mark's Episcopal Church
Jacksonville, Florida

1996-Present

* Youth advisor for church's youth group ages 12-18.

Captain, Coach and Co-Founder, Jacksonville Women's Rugby Team
Jacksonville, Florida

1996-Present

*Competes on both collegiate and club level.

Technical Training

*JD Edwards, Nucleus Energy Software, Microsoft Word, Microsoft Excel, OATI Tagging software, OASIS Transmission software.

References Available Upon Request

Maria Agovino
11 Jaffray Park
Irvington, NY 10533
(914) 591-5848

Objective: *Require knowledge of ISO rules that impact wholesale trading*

Present Responsibilities:

- . Reconcile settlement statements from NYISO, NEISO & PJM
- . Check out with various generators
- . Schedule offer of generation output to NYISO
- . Compile causes of generation outages/derates
- . Submit offers of Icap at Auction
- . File forms/agreements required to participate in NYISO Icap & TCC Auctions
- . Assure creditworthiness with the ISOs
- . Process insurance claims to recover replacement power loss
- . Interface with traders, management, systems & back office personnel
- . Working knowledge of ISO Scheduling & Tagging Systems

Past Experience:

- . Developed Request for Proposals on behalf of utility clients
- . Qualified suppliers of fuel oil and natural gas
- . Evaluated bids
- . Negotiated long-term & short-term deals
- . Managed tank farm inventory & blending operation
- . Earned profits from third-party sales
- . Scheduled deliveries and cargo inspections
- . Issued contracts, letters of credit and invoices

Work History:

1999 to Present	<u>Sempra Energy Trading Corp., Stamford, CT</u> ISO Analyst
1993-1999	<u>ESCO (Massachusetts), Inc., New York, NY</u> Operations Manager
1992-1993	<u>Louis-Dreyfus Corporation, Wilton, CT</u> Contracts Administrator
1988-1992	<u>AroChem Corporation, Stamford, CT</u> Treasury Supervisor
1987-1988	<u>Penson Development Company, New York, NY</u> Assistant to Chief Financial Officer
1985-1987	<u>Phibro Energy Inc., Greenwich, CT</u> Positions Analyst
1980-1985	<u>Coca-Cola Bottling Co. of NY, Inc., Greenwich, CT</u> Administrative Assistant

Education: Iona College, New Rochelle, NY
B.B.A. in Business Administration, June 1987